sterlingtrust

WEALTH MANAGEMENT & FINANCIAL PLANNING

Financial Planning

Service Proposition

Level 1

A Level 1 client will receive the following;-

- Access to our support team
- On-going access to your Adviser
- An annual Review Report containing:
  - A valuation
  - Asset Allocation
  - Fund Performance
  - Market Commentary
- An annual review meeting with your Adviser including a review of:
  - your risk profile
  - your current circumstances
  - your current needs & objectives
  - your future needs and objectives
  - tax changes and implications
  - Liaison with other Professional Advisers as required
- Governance embedded into our investment processes
- Regular newsletter

This service level is subject to an annual minimum charge of £500

Level 2

A level 2 client will receive the following;-

- Access to our support team
- Telephone & email access to your Adviser
- An annual Review Report as detailed in Level 1
- Review of Risk Profile and Asset Allocation
- Governance embedded into our investment processes
- Regular newsletter

This service level is subject to an annual minimum charge of £250

Level 3

A level 3 client will receive the following;-

- Governance embedded into our investment processes
- Access to our support team
- Telephone & email access to your Adviser
- Annual valuation
- Professional Financial Advice to address your needs

This service level is subject to an annual minimum charge of £100

Should the service level you receive not include some of the features you feel are important to you, you can 'upgrade' the service you receive for an additional charge. We will simply increase (if possible) the on-going annual fee received from your existing plan/s or we will invoice you for any shortfall in annual fee. For example, if you are a level 2 client and we currently receive £250 as an on-going annual fee and you require a review meeting with your Adviser, which is available at level 1, we will invoice you for an additional sum of £250.

I/We would like to subscribe to the following on-going service option:
(please tick the appropriate box)

Level 1 Client Service Option
I/We understand that the on-going annual fee for this service is a minimum £500 ☐

Level 2 Client Service Option
I/We understand that the on-going annual fee for this service is a minimum £250 ☐

Level 3 Client Service Option
I/We understand that the on-going annual fee for this service is minimum of £100 ☐

Transactional Service, a review will not be provided (Protection & Annuity only) ☐

I/We wish for the cost of the on-going service to be paid by deduction from the policies we hold, in the event the minimum payment cannot be met via policy deduction, the balance will be invoiced. ☐

I/We wish for the cost of the on-going service to be charged directly to me at a rate of £…./…..% x investment under advice at the most recent valuation date. (minimum on-going annual fee 0.5%) ☐

Fee Option
Alternatively the cost of advice can be paid on agreement of a specific fee. In this case a separate fee agreement will be completed with your adviser. ☐

Signed: ……………………………………………………………………………………….

Print Name:

Signed:……………………………………………………………………………………….

Print Name:

Signed on behalf of our firm:…………………………………………………………..

Print Name: Date: